Case No. <u>10716/57</u>

Genentech and CuraGen Not 1885R1 and CURA-233

## DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

Residence

Citizenship

Post Office Address

My residence, post office address and citizenship are as stated below next to my name.

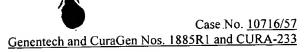
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is congression invention entitled NOVEL HUMAN STRA6-LIKE PROTEIN AND NUCLEIC ACIDS ENCODING THE SAME, the specification of which:

|   | is attached hereto.  |   |  |                               |                      |
|---|--|---|--|-------------------------------|----------------------|
| $\boxtimes$   | was filed on March 23, 2001  | as Application Serial No. 09/816,653.   |  |                               |                      |
|   | and was amended on   | (if applicable).  |  |                               |                      |
| amended by any  | amendment referred to above  | erstand the contents of the above-identifie.  |  |                               |                      |
| Regulations & 1.  | 56(a).   | ion which is material to the patentabili  |  |                               |                      |
| inventor's certifi  | cate or § 365(a) of any PC   | er 35 U.S.C. § 119(a)-(d) or § 365(b) or International application which designtified below, by checking the box, any aving a filing date before that of the app  | foreign application for                    | or patent or in               | ventor's             |
| Prior Foreign Ar  |  |   |  | Priority Clair                | <u>med</u>           |
| Prior Foreign At  | prication(s)   |   |  |                               |                      |
| (Number)  | (Country)  | (Day/Month/Year F   | iled)                                      | Yes                           | No                   |
| (Number)  |  | 119(e) of any United States provisional   | application(s) listed b                    | elow:                         |                      |
|   |  |   |  |                               |                      |
|   | 0/191,532  | March 23, 2000  |  |                               |                      |
| (Applic   | ation Serial No.)  | (Filing Date)   |  | DOT I                         |                      |
| application designs is not disclosed  | gnating the United States, list in the prior United States or  | § 120 of any United States application ted below and, insofar as the subject material PCT International application in the masclose information which is material to late of the prior application and the nation | anner provided by the                      | e first paragraphed in 37 CFI | ph of 35<br>R § 1.56 |
| (Applie   | ation Serial No.)  | (Filing Date)   | (Status-patented,                          | pending, aban                 | idoned)              |
| I hereby declare<br>belief are believ<br>the like so made<br>that such willfu | that all statements made her<br>yed to be true; and further the<br>e are punishable by fine or in<br>I false statements may jeopar | rein of my own knowledge are true and at these statements were made with the nprisonment, or both, under Section 100 dize the validity of the application or an   | of Title 18 of the Uy patent issued thereo | United States C               | Code and             |
| Inventor's Signa  |  | Diane Pennica   |  |                               |                      |
| Full name of so   | 2417 Hole Drive Burlingame CA 94010  |   |  |                               |                      |
| KACIMENCE   |  |   |  |                               |                      |

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

2417 Hale Drive, Burlingame, CA 94010

U.S.A.



| Inventor's Signature                       |   | Date: |
|--|---|-------|
| Full name of second joint inventor, if any | Luca Rastelli                           |       |
| Residence                                  | 52 Pepperbush Lane, Guilford, CT, 06437 |       |
| Citizenship                                | Italy                                   |       |
| Post Office Address                        | 52 Pepperbush Lane, Guilford, CT, 06437 |       |
| FOST Office Address                        |   |       |
| Inventor's Signature                       |   | Date: |
| Full name of third joint inventor          |   |       |
| Residence                                  |   |       |
| Citizenship                                |   |       |
| Post Office Address                        |   |       |
| 1 Ost Office Madress                       |   |       |
| Inventor's Signature                       |   | Date: |
| Full name of fourth joint inventor         |   |       |
| Residence                                  |   |       |
| Citizenship                                |   |       |
| Post Office Address                        |   |       |
| . 65. 6                                    |   | _     |
| Inventor's Signature                       |   | Date: |
| Full name of fifth joint inventor          |   |       |
| Residence                                  |   |       |
| Citizenship                                |   |       |
| Post Office Address                        |   |       |
|  |   | Data  |
| Inventor's Signature                       |   | Date: |
| Full name of sixth joint inventor          |   |       |
| Residence                                  |   |       |
| Citizenship                                |   |       |
| Post Office Address                        |   |       |
|  |   | Date: |
| Inventor's Signature                       |   |       |
| Full name of seventh joint inventor        |   |       |
| Residence                                  |   |       |
| Citizenship Rost Office Address            |   |       |
| Uast ( Utica Address                       |   |       |

rev. Dec.-99 Document3

Genentech and CuraGen No 1885 and CURA-23

## DECLARATION FOR PATENT APPLICATION

| As a below named inventor, | I | hereby | declare | that: |
|----------------------------|---|--------|---------|-------|
|----------------------------|---|--------|---------|-------|

is attached hereto.

 $\boxtimes$ 

My residence, post office address and citizenship are as stated below next to my name.

was filed on March 23, 2001 as Application Serial No. 09/816,653.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original first inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled NOVEL HUMAN STRA6-LIKE PROTEIN AND NUCLEIC ACIDS ENCODING THE SAME, the specification of which:

| and was amended on  | (if applicable).                                     |   |                      |               |  |
|---|--|---|----------------------|---------------|--|
| I hereby state that I have reviewed and under   | estand the contents of the above-identif             |   |                      |               |  |
| I acknowledge the duty to disclose information Regulations, § 1.56(a).  |  |   |                      |               |  |
| I hereby claim foreign priority benefits under inventor's certificate or § 365(a) of any PCT United States, listed below and have also ider certificate, or PCT International application has   | International application which dosig                | foreign application for pate ication on which priority is | nt or inv<br>claimed | ventor's<br>: |  |
| Prior Foreign Application(s)  |  | Prior   | ity Clain            | <u>neo</u>    |  |
| 11101 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0   |  |   |                      |               |  |
| (Number) (Country)  | (Day/Month/Year F                                    | led)  | Yes                  | No            |  |
| (Number) (Country)  | ` •  |   |                      |               |  |
| I hereby claim the benefit under 35 U.S.C. § 1  |  | .PP   |                      |               |  |
| 60/191,532  | March 23, 2000                                       |   |                      |               |  |
| (Application Serial No.)  | (Filing Date)  |   |                      | . ,           |  |
| I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application: |  |   |                      |               |  |
| (1. U. Carial No.)  | (Filing Date)  | (Status-patented, pendir                                  | ng, aban             | doned)        |  |
| (Application Serial No.) (Filing Date) (Status-patented, pending, deanted-to-y- I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.  Date:  |  |   |                      |               |  |
| Inventor's Signature  |  | Date  |                      |               |  |
| Full name of sole or first inventor   | Diane Pennica<br>2417 Hale Drive, Burlingame, CA, 94 | 010   | -                    |               |  |
| Residence   |  | 010   |                      |               |  |
| Citizenship  Post Office Address  2417 Hale Drive, Burlingame, CA 94010   |  |   |                      |               |  |
| Post Office Address   | 2417 Hale Dilve, Duringame, 6177                     |   |                      |               |  |

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

Case No. <u>10716/57</u>

|  | Genentech and CuraGen Nos. 1885R1 and CURA-233 |
|--|--|
|  | Date: 7/20/01                                  |
| Inventor's Signature                       |  |
| Full name of second joint inventor, if any | Luca Rastelli                                  |
| Residence                                  | 52 Pepperbush Lane, Guilford, CT, 06437        |
| Citizenship                                | Italy Cuitford CT 06427                        |
| Post Office Address                        | 52 Pepperbush Lane, Guilford, CT, 06437        |
| Inventor's Signature                       | Date:  |
| Full name of third joint inventor          |  |
| Residence                                  |  |
| Citizenship                                |  |
| Post Office Address                        |  |
| Post Office Address                        |  |
| Inventor's Signature                       | Date:  |
| Full name of fourth joint inventor         |  |
| Residence                                  |  |
| Citizenship                                |  |
| Post Office Address                        |  |
| 1 OSt Office / Idahoos                     |  |
| Inventor's Signature                       | Date:  |
| Full name of fifth joint inventor          |  |
| Residence                                  |  |
| Citizenship                                |  |
| Post Office Address                        |  |
|  | Date:  |
| Inventor's Signature                       |  |
| Full name of sixth joint inventor          |  |
| Residence                                  |  |
| Citizenship                                |  |
| Post Office Address                        |  |
| Inventor's Signature                       | Date:  |
| Full name of seventh joint inventor        |  |
| Residence                                  |  |
| Citizenship                                |  |
| Post Office Address                        |  |
|  |  |

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